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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/938,857	08/27/2001	Joe D. Norwood	2048.0030000

CONFIRMATION NO. 1140

26111
STERNE, KESSLER, GOLDSTEIN & FOX PLLC
1100 NEW YORK AVENUE, N.W., SUITE 600
WASHINGTON, DC 20005-3934

FORMALITIES LETTER



OC000000006793692

Date Mailed: 09/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 420.**

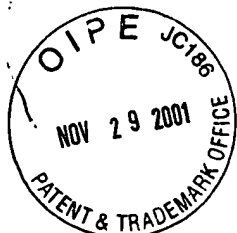
A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/30/2001 EAREGAY1 00000034 09938857

01 FC:201 370.00 OP
02 FC:205 65.00 OP



STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

ATTORNEYS AT LAW

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*LIMITED TO MATTERS
AND PROCEEDINGS BEFORE
FEDERAL COURTS & AGENCIES
**REGISTERED PATENT AGENT
***SENIOR COUNSEL

November 29, 2001

WRITER'S DIRECT NUMBER:

(202) 312-7282

INTERNET ADDRESS:

RSTAMPER@SKGF.COM

Commissioner for Patents
Washington, D.C. 20231

**Box Missing Parts
Art Unit 2833**

Re: U.S. Utility Patent Application
Appl. No. 09/938,857; Filed: August 27, 2001
For: **Connector Assembly Having Visual Indicator**
Inventors: Norwood *et al.*
Our Ref: 2048.0030000/MBR/RJS

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.
Patent and Trademark Office:

1. PTO Fee Transmittal Form PTO/SB/17 (in duplicate);
2. Copy of Notice to File Missing Parts;
3. Original Declaration for Patent Application, executed by the inventors;
4. Original executed Power of Attorney from Assignee;
5. Certificate Under 37 C.F.R. § 3.73(b) Statement with copy of Assignment attached;
6. Petition For Extension of Time Under 37 C.F.R. § 1.136(a)(1) (in duplicate);
7. Return postcard; and

Commissioner for Patents

November 29, 2001

Page 2

8. Our Check No. 33369 for \$490.00 to cover:
\$370.00 Filing Fee for Patent Application (37 C.F.R. § 1.16)
\$ 65.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16)
\$ 55.00 For Extension fees under 37 C.F.R. § 1.136.

It is respectfully requested that the attached postcard be stamped with the filing date of these documents and returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Ryan J. Stamper
Attorney for Applicants
Registration No. 45,802

MBR/RJS:hmb

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November 29, 2001

Page 2


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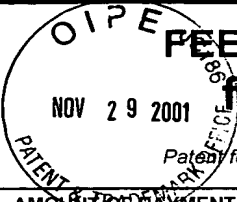
Ryan J. Stamper
Attorney for Applicants
Registration No. 45,802

MBR/RJS:hmb

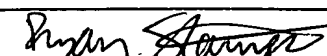
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 <h2 style="margin:0;">FEE TRANSMITTAL</h2> <h3 style="margin:0;">for FY 2002</h3> <p style="font-size: small;">Patent fees are subject to annual revision.</p>	Complete if Known	
	Application Number	09/938,857
	Filing Date	August 27, 2001
	First Named Inventor	Norwood et al.
	Examiner Name	To Be Assigned
	Group Art Unit	2833
Attorney Docket No.		2048.0030000/MBR/RJS
TOTAL AMOUNT OF PAYMENT		(\$490.00)

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																																																																												
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:30%;">Deposit Account Number</td> <td>19-0036</td> </tr> <tr> <td>Deposit Account Name</td> <td>Sterne, Kessler, Goldstein & Fox P.L.L.C.</td> </tr> </table> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input checked="" type="checkbox"/> Other*</p> <p><small>*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.</small></p>	Deposit Account Number	19-0036	Deposit Account Name	Sterne, Kessler, Goldstein & Fox P.L.L.C.	<p>3. ADDITIONAL FEES</p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td>\$65.00</td> </tr> <tr> <td></td> <td></td> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td></td> <td></td> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td></td> <td></td> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>For filing a request for <i>ex parte</i> reexamination</td> <td></td> </tr> <tr> <td></td> <td></td> <td>112</td> <td>920*</td> <td>112</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td></td> <td></td> <td>113</td> <td>1,840*</td> <td>113</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td></td> <td></td> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for reply within first month</td> <td>\$55.00</td> </tr> <tr> <td></td> <td></td> <td>116</td> <td>400</td> <td>216</td> <td>200</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td></td> <td></td> <td>117</td> <td>920</td> <td>217</td> <td>460</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td></td> <td></td> <td>118</td> <td>1,440</td> <td>218</td> <td>720</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td></td> <td></td> <td>128</td> <td>1,960</td> <td>228</td> <td>980</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td></td> <td></td> <td>119</td> <td>320</td> <td>219</td> <td>160</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td></td> <td></td> <td>120</td> <td>320</td> <td>220</td> <td>160</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td></td> <td></td> <td>121</td> <td>280</td> <td>221</td> <td>140</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td></td> <td></td> <td>138</td> <td>1,510</td> <td>138</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td></td> <td></td> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to revive - 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<p>1. BASIC FILING FEE</p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td>101</td> <td>740</td> <td>Utility filing fee</td> <td>\$370.00</td> </tr> <tr> <td></td> <td></td> <td>106</td> <td>330</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td></td> <td></td> <td>107</td> <td>510</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td></td> <td></td> <td>108</td> <td>740</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td></td> <td></td> <td>114</td> <td>160</td> <td>Provisional filing fee</td> <td></td> </tr> </tbody> </table> <p>SUBTOTAL (1) (\$ 370.00)</p> <p>2. EXTRA CLAIM FEES</p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td>103</td> <td>18</td> <td>Claims in excess of 20</td> <td></td> </tr> <tr> <td></td> <td></td> <td>102</td> <td>84</td> <td>Independent claims in excess of 3</td> <td></td> </tr> <tr> <td></td> <td></td> <td>104</td> <td>280</td> <td>Multiple dependent claim</td> <td></td> </tr> <tr> <td></td> <td></td> <td>108</td> <td>84</td> <td>**Reissue independent claims over original patent</td> <td></td> </tr> <tr> <td></td> <td></td> <td>110</td> <td>18</td> <td>**Reissue claims in excess of 20 and over original patent</td> <td></td> </tr> </tbody> </table> <p>SUBTOTAL (2) (\$ 0)</p> <p><small>** or number previously paid, if greater; For Reissues, see above</small></p>	Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid			101	740	Utility filing fee	\$370.00			106	330	Design filing fee				107	510	Plant filing fee				108	740	Reissue filing fee				114	160	Provisional filing fee		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid			103	18	Claims in excess of 20				102	84	Independent claims in excess of 3				104	280	Multiple dependent claim				108	84	**Reissue independent claims over original patent				110	18	**Reissue claims in excess of 20 and over original patent		<p>SUBTOTAL (3) (\$ 120.00)</p>																																																																																																																																																																				
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SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Ryan J. Stamper	Registration No. (Attorney/Agent)	45,802	Telephone	202-371-2600
Signature		Date	11/29/01		

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